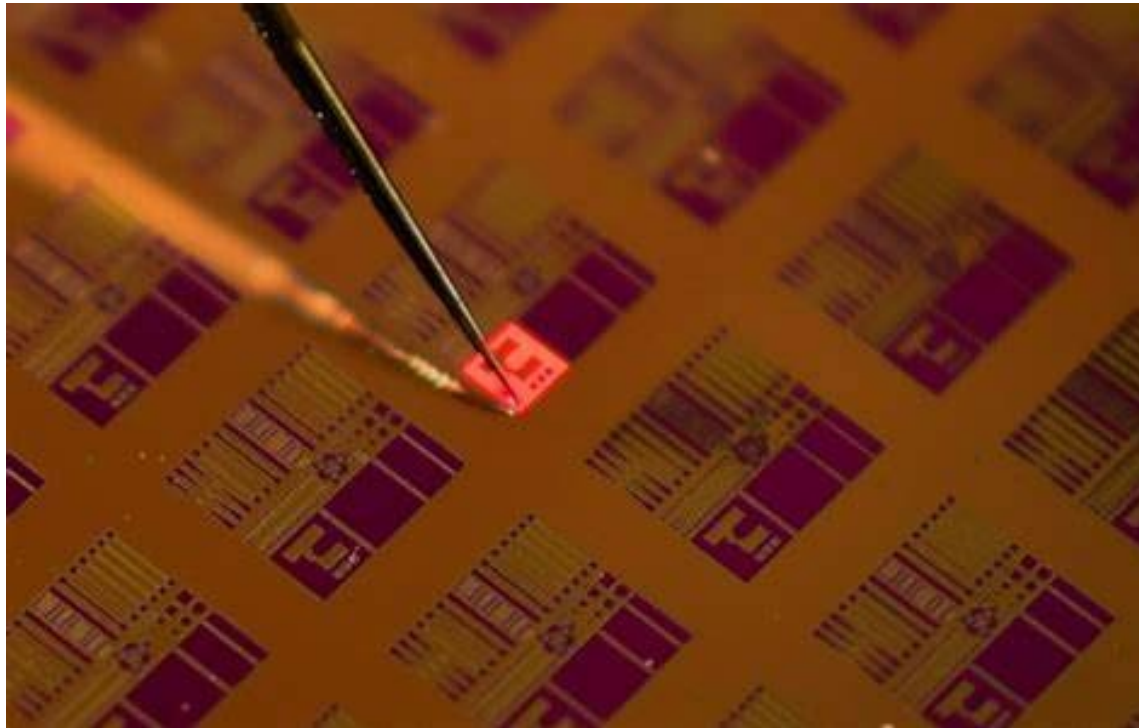


# Advanced III-nitride semiconductor devices



<https://www.microled-info.com/micledi-demonstrates-alingap-ferrari-red-microled-devices>

A photograph of a blue LED light. The LED is a small, translucent blue component with two thin wires extending from its base. It is emitting a bright, circular beam of blue light that fills the upper portion of the frame. The background is dark, making the light beam stand out.

# LEDs

- Polarization field and LED efficiency
- Red microLEDs

# LED efficiency

## letters to nature

### Acknowledgements

This work was supported in part by the National Science Foundation. We thank the Numerically Intensive Computing Group led by V. Agarwala, J. Holmes and J. Nucciarone, at the Penn State University CAC, for assistance and computing time with the LION-X cluster.

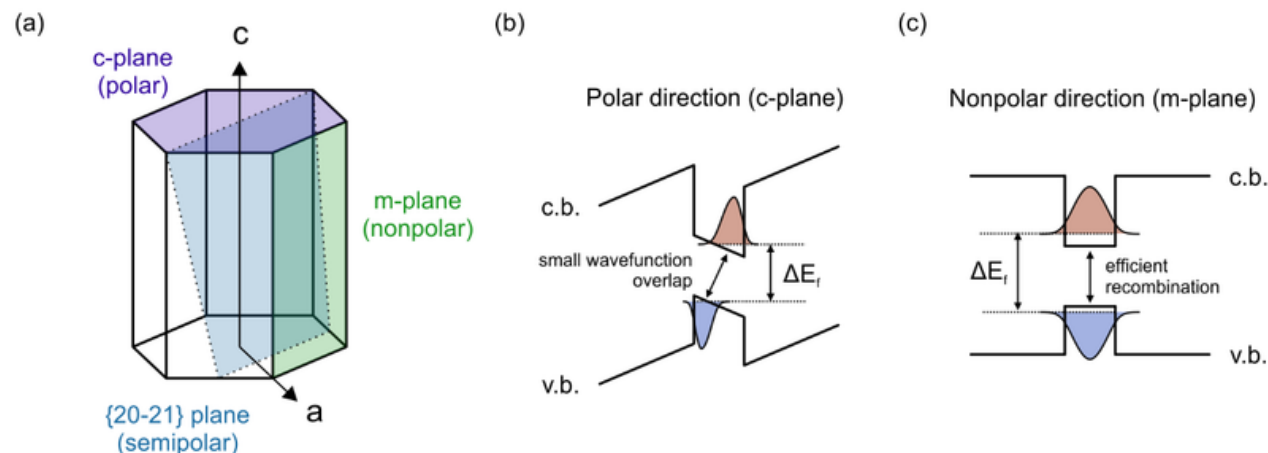
Correspondence and requests for materials should be addressed to J.K.J.  
(e-mail: jain@phys.psu.edu).

## Nitride semiconductors free of electrostatic fields for efficient white light-emitting diodes

**P. Waltereit, O. Brandt, A. Trampert, H. T. Grahn, J. Menniger, M. Ramstelner, M. Relche & K. H. Ploog**

*Paul-Drude-Institut für Festkörperelektronik, Hausvogteiplatz 5-7,  
D-10117 Berlin, Germany*

The polarization field is commonly believed to be detrimental for the LED efficiency



Chapter

### Nonpolar and semipolar LEDs

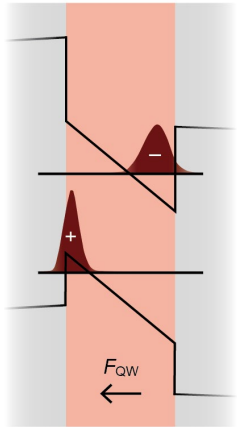
#### 8.1.5 Advantages of nonpolar and semipolar LEDs

The QCSE imposes a limit on device performance for c-plane LEDs. To circumvent the detrimental effects of the internal polarization, growing devices along orientations that have zero or minimal polarization has been proposed as the solution for many unsolved issues. When there are no polarization-induced electric fields in the QW, the IQE is enhanced and there is an improved overlap between carrier wave functions. The QWs can be grown thicker without much reduction of the IQE as long as the defect density is not significantly increased. Therefore, the unbalanced carrier transport in MQW devices resulting from the over-thin QWs can be mitigated. Additionally, lower polarization

# LED efficiency

## Impact of the large internal electric field

$$F_{QW} = 2\text{-}3 \text{ MV/cm}$$

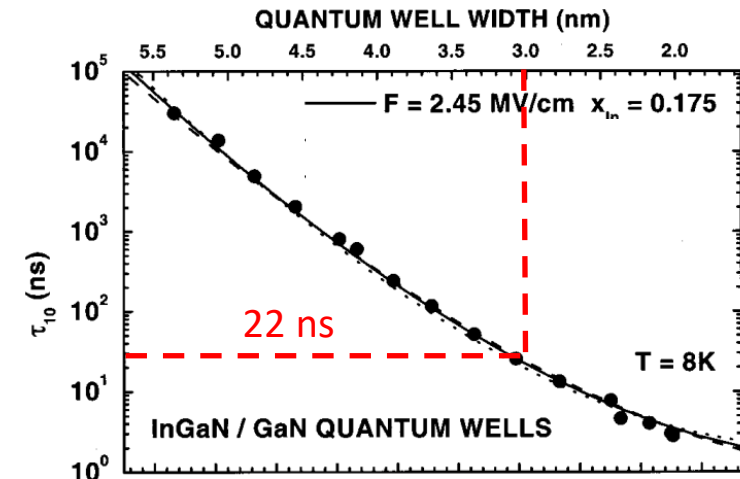


Quantum Confined Stark Effect (QCSE)

$$\text{Radiative lifetime: } \tau_R \propto \frac{1}{|\langle \varphi_e | \varphi_h \rangle|^2}$$

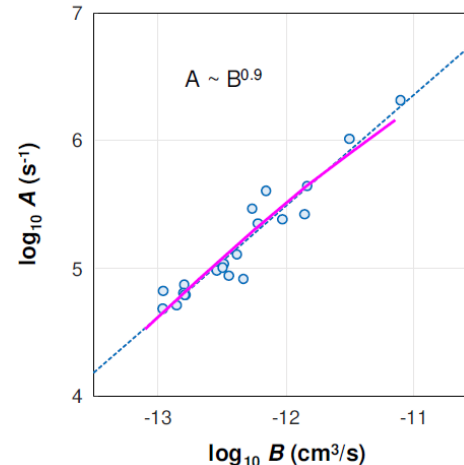
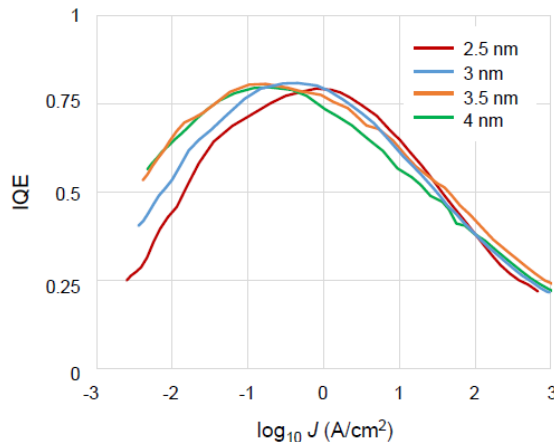
Few 10s of nanoseconds for blue LEDs

$$IQE = \frac{\tau_{NR}}{\tau_{NR} + \tau_R}$$



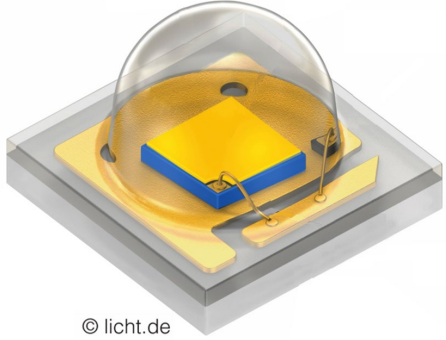
P. Lefebvre, ..., NG, J. Massies *et al.*, APL 78, 1252 (2001)

Important:  $\tau_{NR}$  is inversely proportional to the e-h wavefunction overlap A. David *et al.*, Phys. Rev. Appl. 11, 031001 (2019)



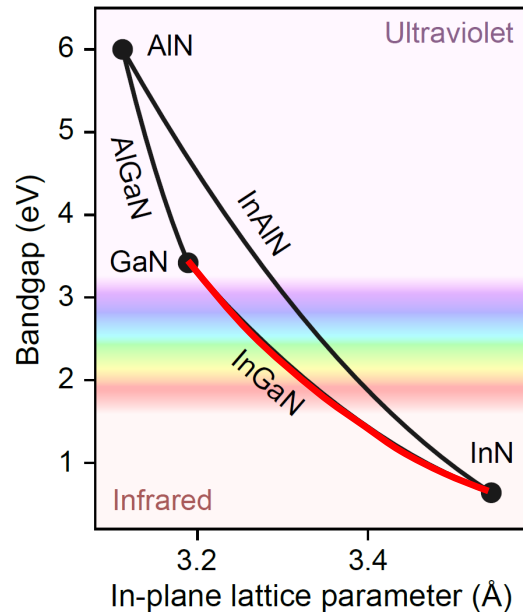
The B coefficient decreases when the polarization field increases, but the A coefficient decreases too.

The polarization field does not impact the efficiency.



© licht.de

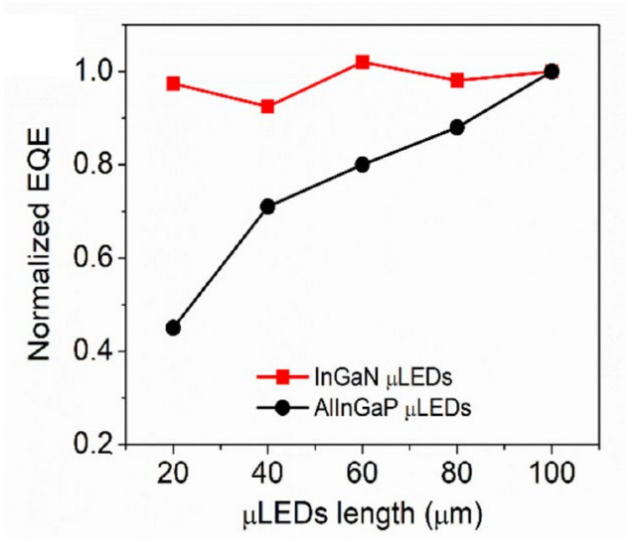
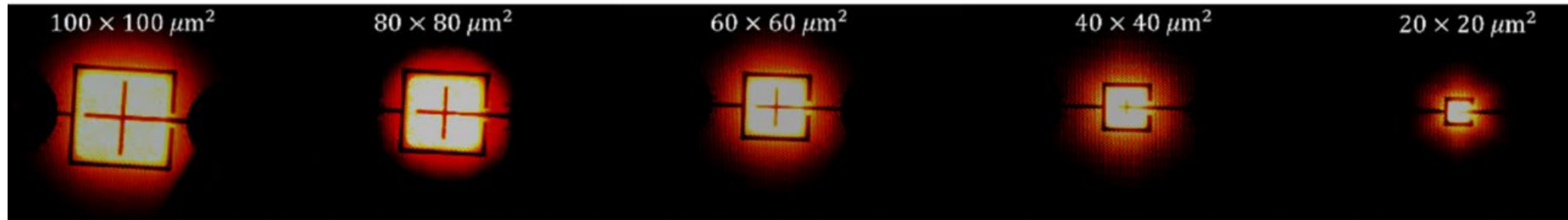
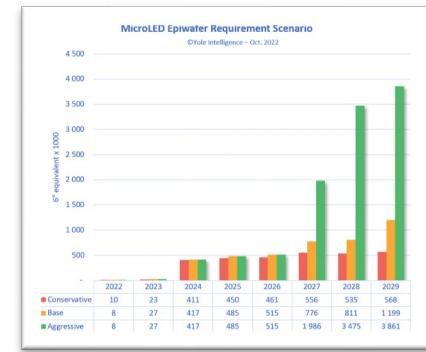
- Blue LEDs are extremely efficient
- WPE > 80% (IQE > 90%)
- White LEDs with record luminous efficacy of 300 lm/W



- InN bandgap of 0.65 eV
- ⇒ InGaN alloy covers the whole visible spectrum

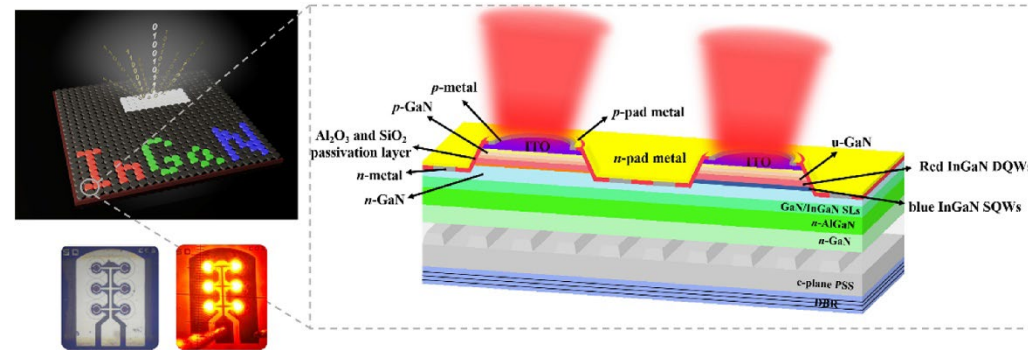
## ■ InGaN MicroLEDs for RGB displays

### 1. Less sensitive to sidewall non-radiative recombination



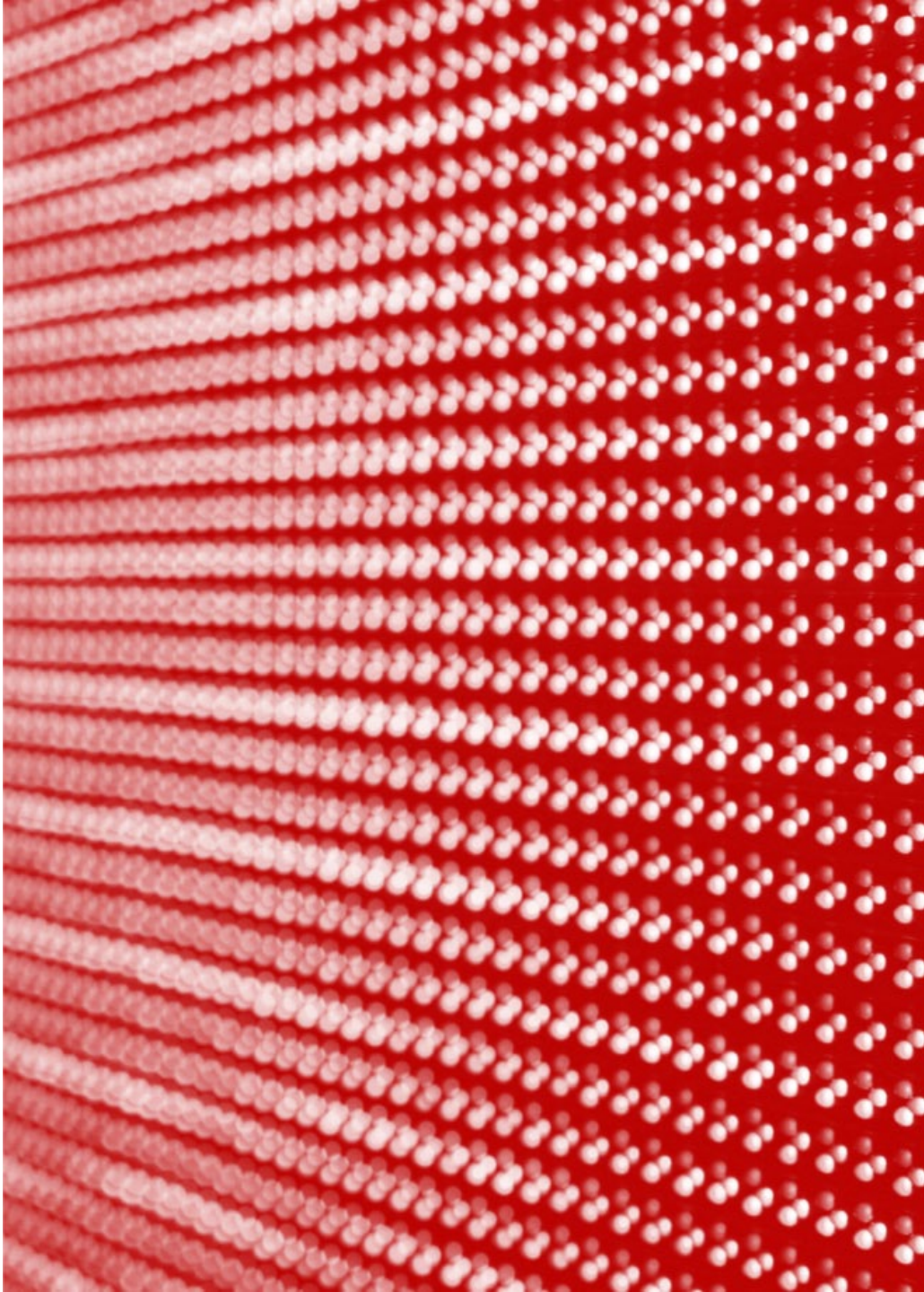
P. Li *et al.*, Crystals **12**, 541 (2022)

### 2. A single material platform



Y.-M. Huang *et al.*, Photonics Research **10**, 1978 (2022)

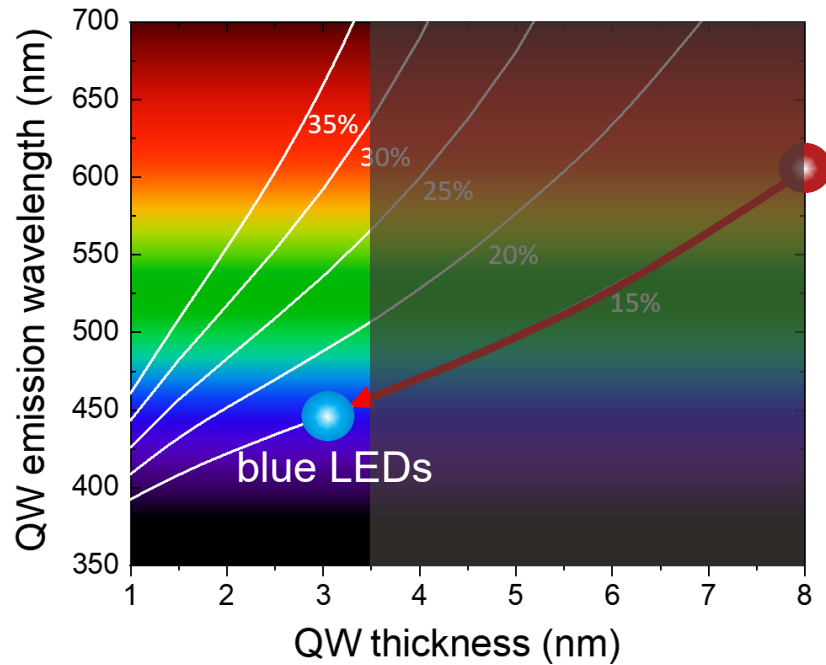




**How to push the  
wavelength to the  
Red ?**

# How to push the wavelength to the Red ?

## ■ InGaN/GaN QW emission wavelength

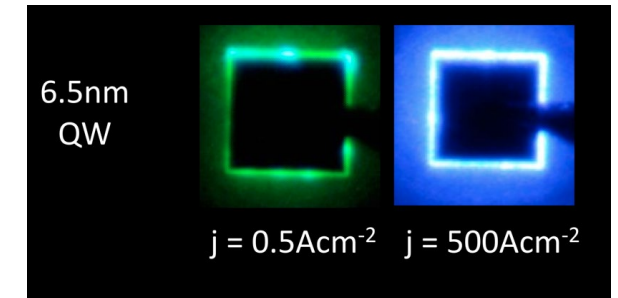
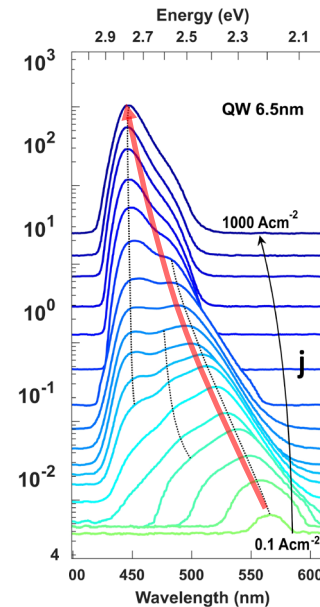


**The indium content must be larger than 30%**

$$E_{QW} = E_g^{InGaN} + E_{conf}^{e,h} - qL_w F \quad \text{Stark shift}$$

$$x = 15\% \Rightarrow F \approx 1.5 \text{ MV/cm}$$

Red emission (~610 nm) with 15% In and  $L_w = 8 \text{ nm}$



- Screening of the electric field induces a strong blue-shift

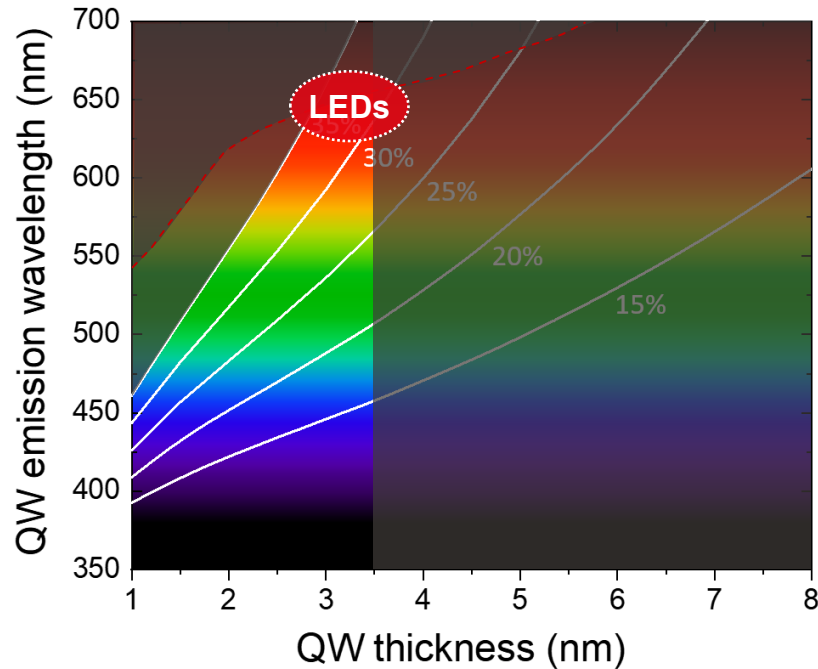
$\Rightarrow L_w$  lower than 4 nm

M. Hajdel *et al.*, Materials **15**, 237 (2022)



# How to push the wavelength to the Red ?

## ■ InGaN/GaN QW emission wavelength



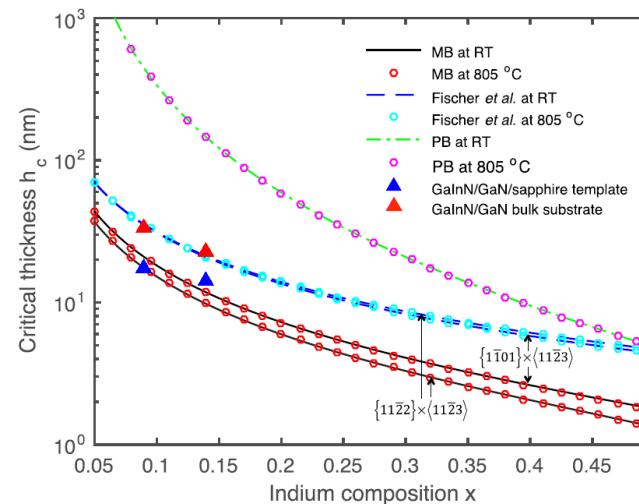
InGaN on GaN  
critical thickness

G. Ju *et al.*, APL 110, 262105 (2017)  
Amano's group

$$E_{QW} = E_g^{InGaN} + E_{conf}^{e,h} - qL_w F \text{ Indium content}$$

« ... less than 35% In  
composition is normally  
reported.”

N. Hu *et al.*, APL 121, 082106 (2022) - Amano's group

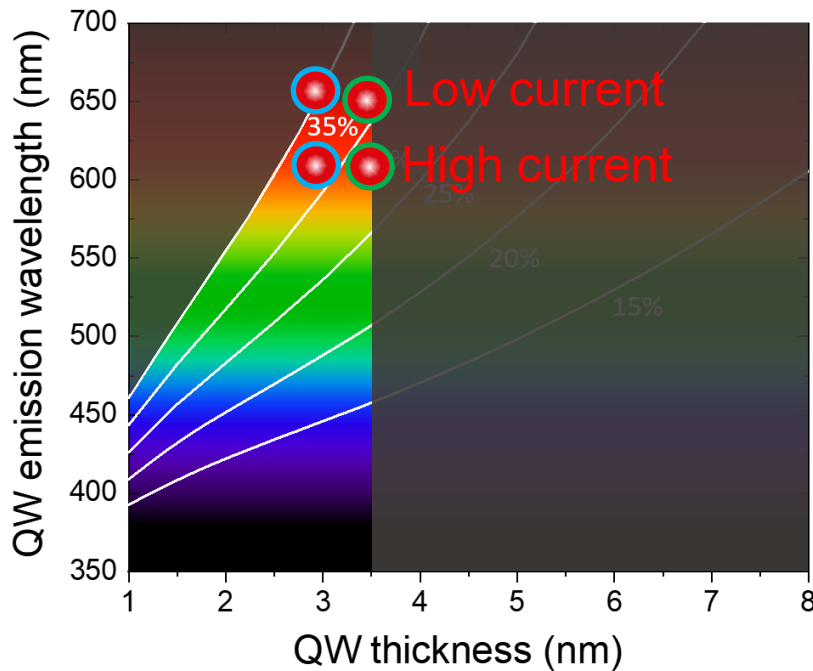


## ■ The parameter space for red LEDs is quite limited

In content = 30-35%  
 $L_w = 3-3.5$  nm

# How to push the wavelength to the Red ?

## ■ Red-LEDs on GaN/sapphire template

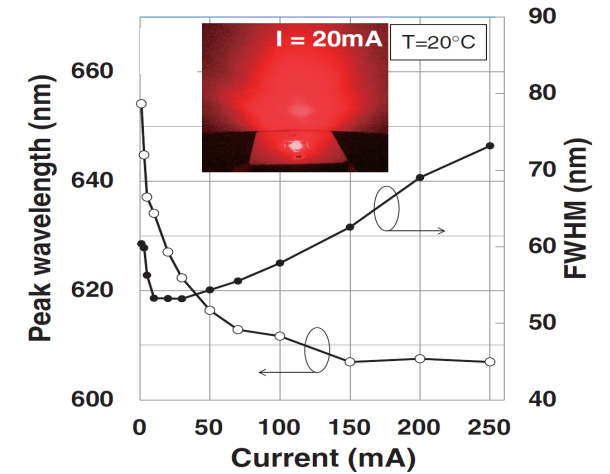


● 2014 : Toshiba J.-I. Hwang *et al.*, APEX 7, 071003 (2014)

$x = 0.35$ ,  $L_w = 3$  nm,  $\lambda_{\max} = 655$  nm

At 20 mA and 629 nm:

- Power = 1.1 mW
- EQE = 2.9%
- WPE = 1.3%



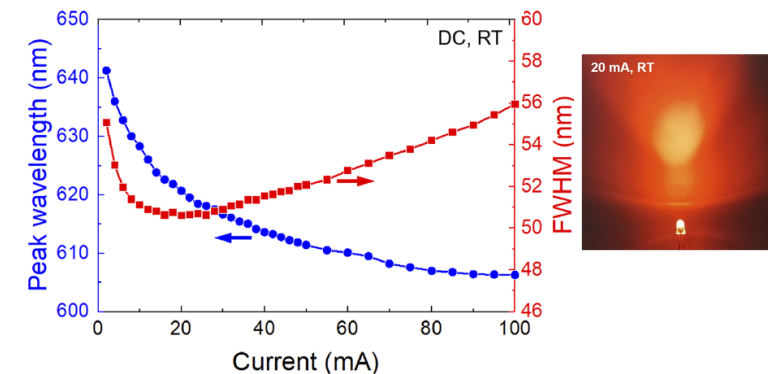
● 2022 : KAUST D. Iida *et al.*, AIP Advances 12, 065125 (2022)

$x = 0.32$ ,  $L_w = 3.5$  nm,  $\lambda_{\max} = 641$  nm

At 20 mA and 621 nm:

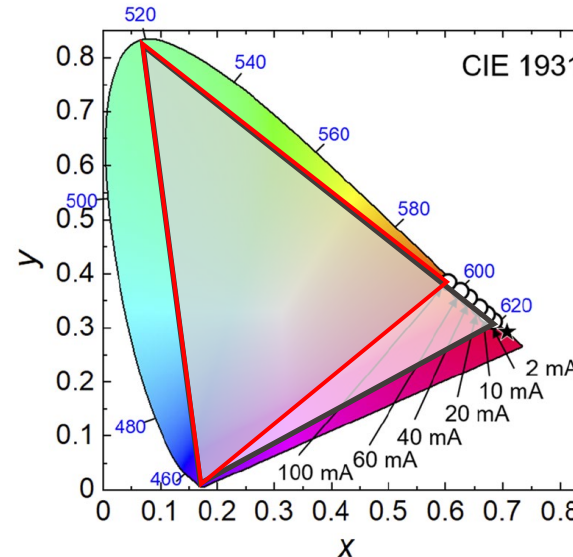
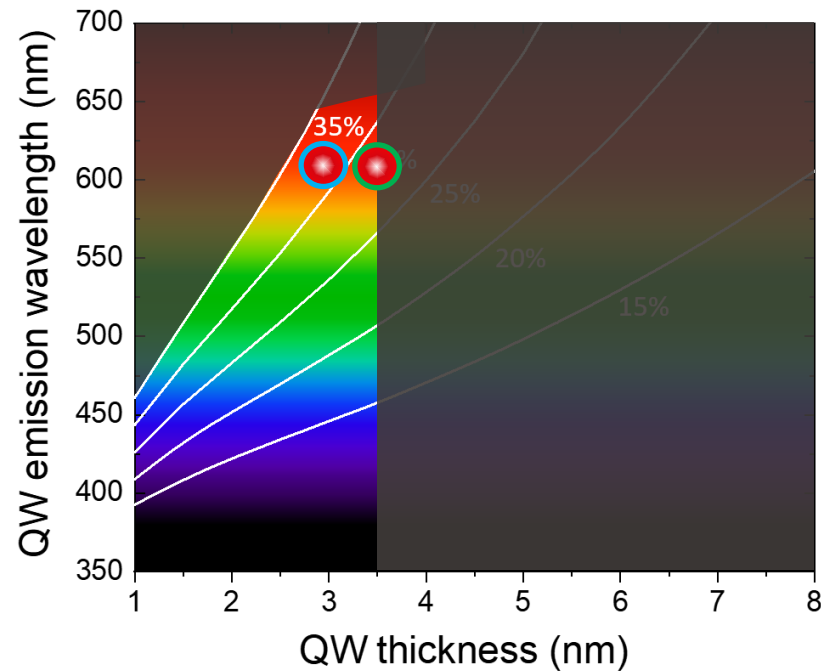
- Power = 1.7 mW
- EQE = 4.3%
- WPE = 2.9%

Paper 12421-61



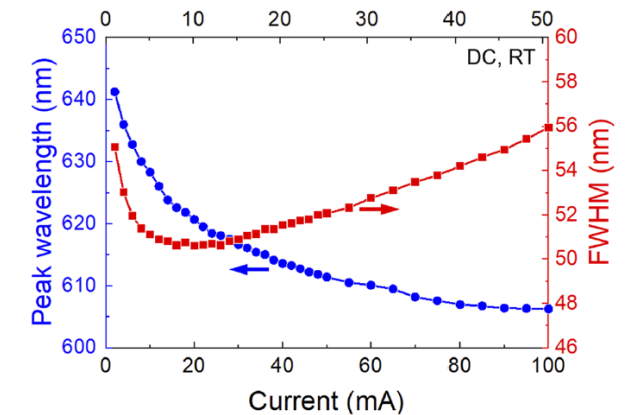
## ■ Red-LEDs on GaN/sapphire template

At high current density, due to the field screening and band filling, the emission is barely red (610 nm)



D. Iida *et al.*, AIP Advances **12**, 065125 (2022)

The color gamut strongly depends on the “red” wavelength and the FWHM

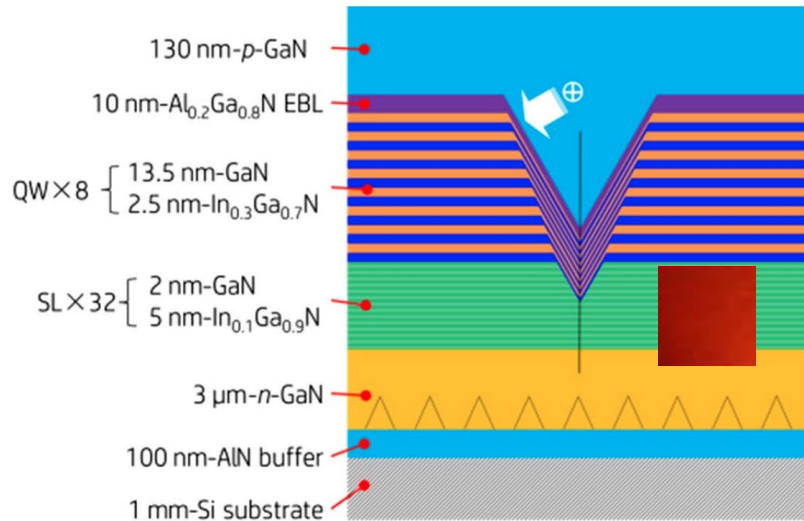


EL peak  $\neq$  dominant wavelength

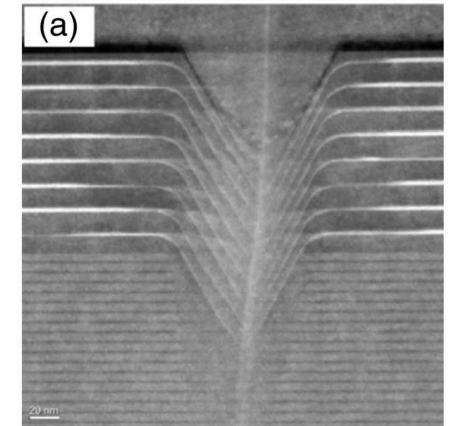
- Extend further the emission wavelength
- Reduce the emission linewidth
- Improve the efficiency

**Higher In content  $\Rightarrow$  Decrease the strain**

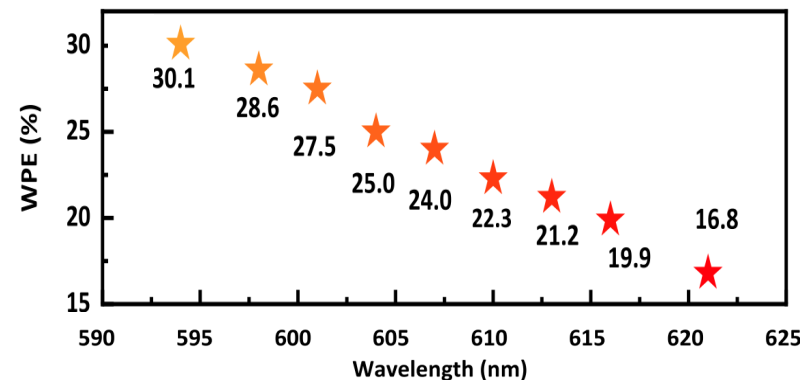
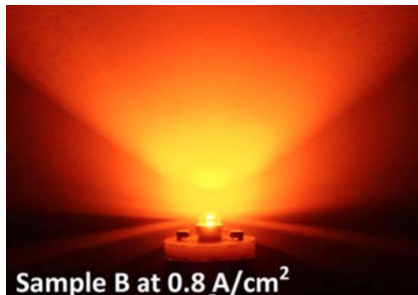
- Strain relaxation from surface morphology and growth on Si(111)



- Growth on silicon  
⇒ tensile strain
- Large V-pits  
⇒ strain relaxation  
⇒ Lateral hole injection



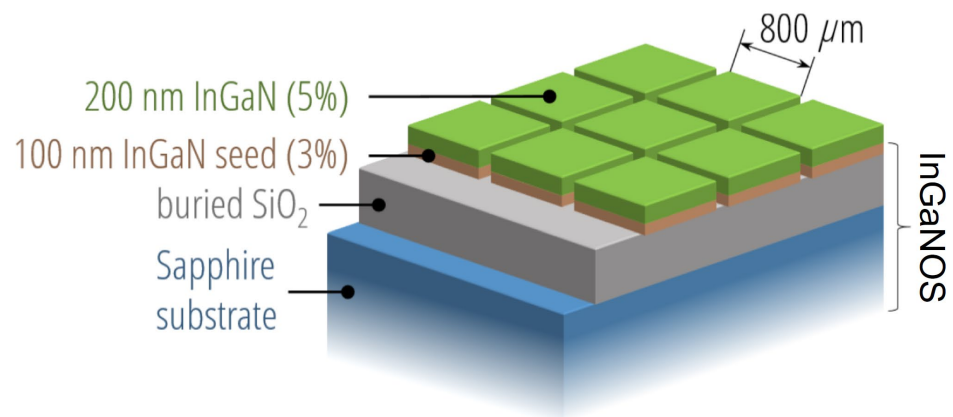
F. Jiang *et al.*, *Photonics Research* **7**, 144 (2019) – Nanchang U.



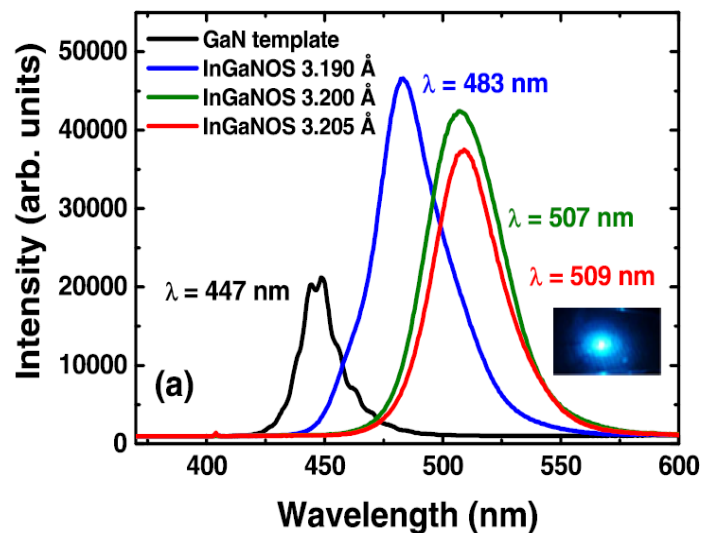
- WPE = 16.8% at 621 nm  
Large devices 1x1 mm<sup>2</sup>

S. Zhang *et al.*, *Photonics Research* **8**, 1671 (2020)  
Nanchang U.

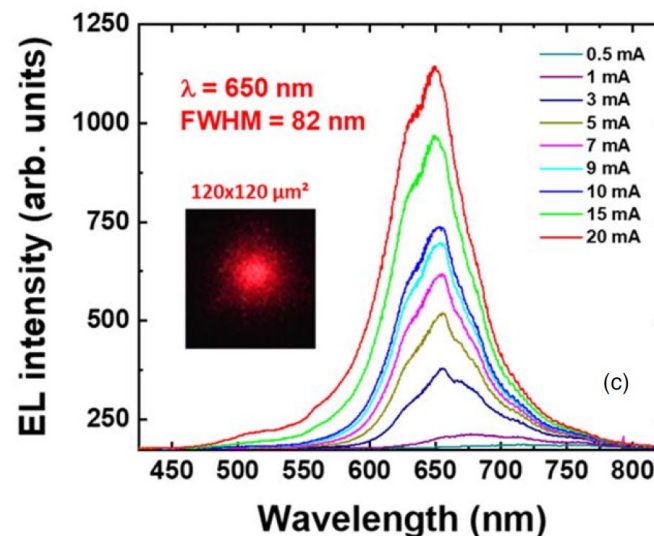
## ■ Pseudo-InGaN substrate (InGaNOS)



- 200nm-thick  $\text{In}_x\text{Ga}_{1-x}\text{N}$  ( $x=0.015-0.08$ ) layer on GaN/sapphire
- Transferred using Soitec's Smart Cut™ technology onto a compliant layer
- The InGaN layer is patterned to enable strain relaxation



A. Even *et al.*, APL **110**, 262103 (2017)  
CEA/LETI and Soitec



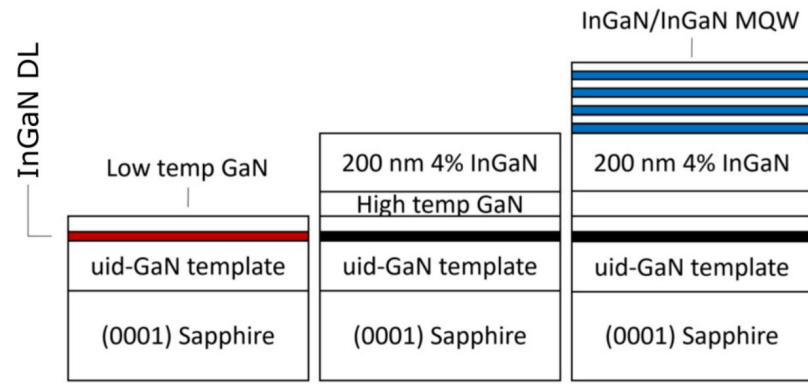
A. Dussaigne *et al.*, APEX **14**, 092011 (2021)  
CEA/LETI and Soitec Paper 12441-20

- In content ~40%
  - 650 nm with small blueshift
- IQE = 10%  
EQE = 0.14% (with a LEE < 4%)

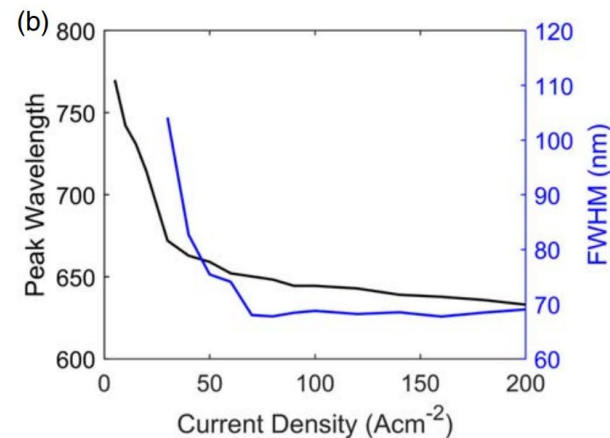
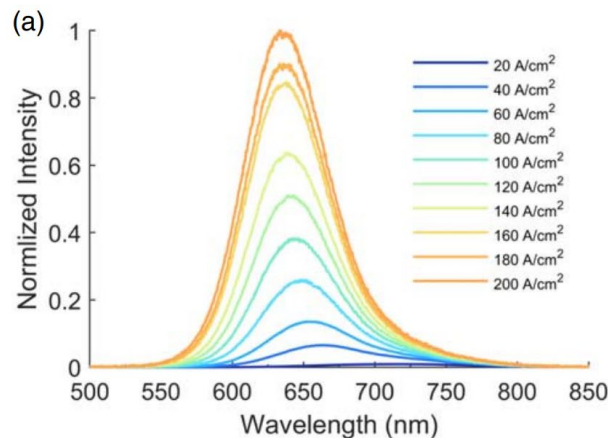
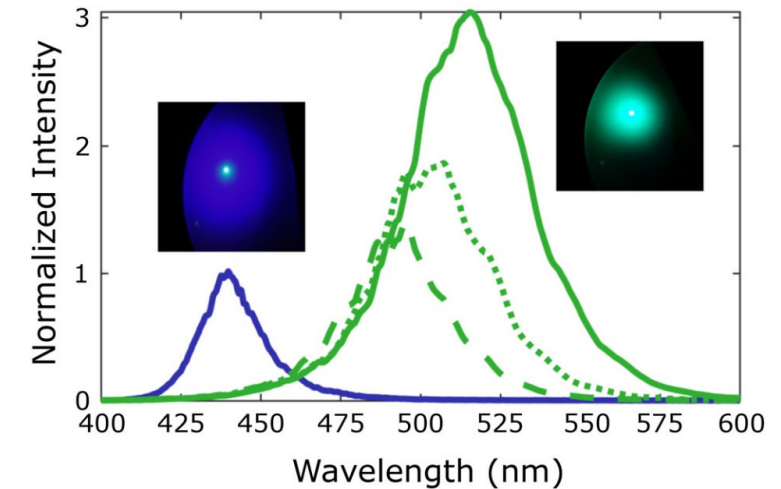
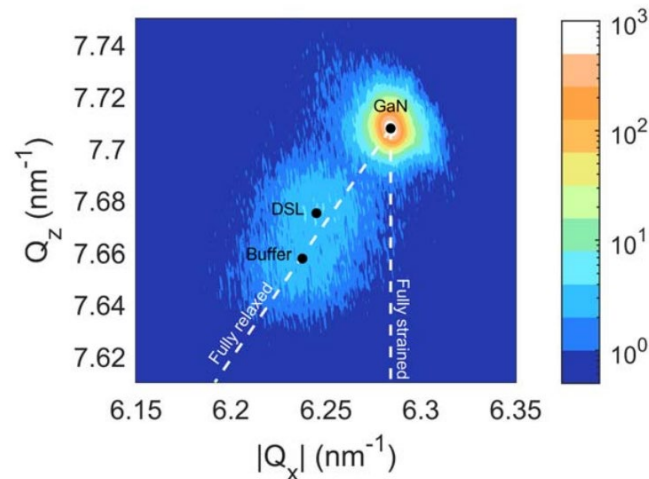
**InGaNOS discontinued**



- Pseudo-InGaN substrate *via* decomposition layer



P. Chan *et al.*, APL **119**, 131106 (2021) - UCSB



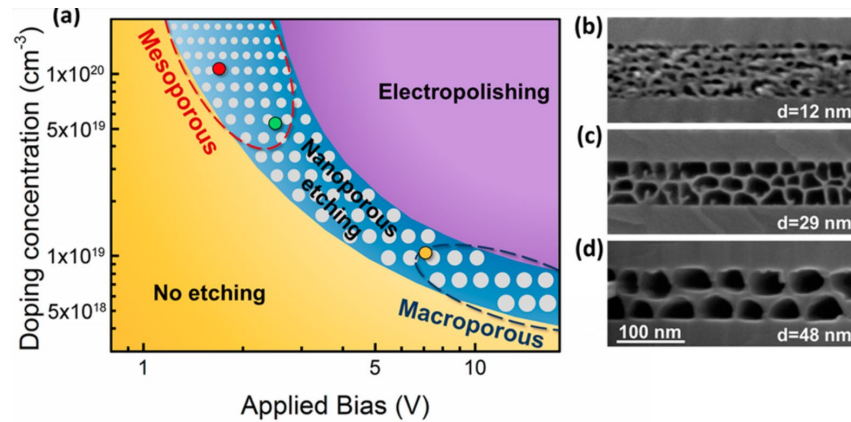
P. Chan *et al.*, APEX **14**, 101002 (2021) - UCSB

Paper 12441-57

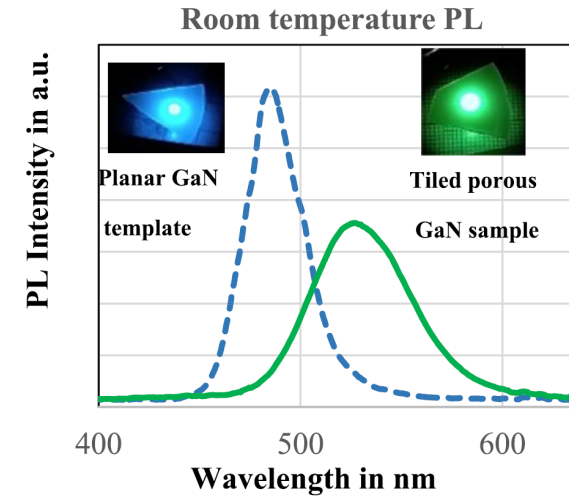
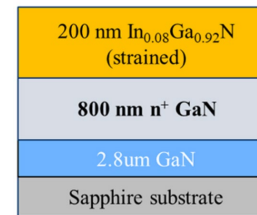
- 770 nm at low current
- 633 nm at 200 A.cm<sup>-2</sup>

EQE = 0.05% (due to poor surface morphology)

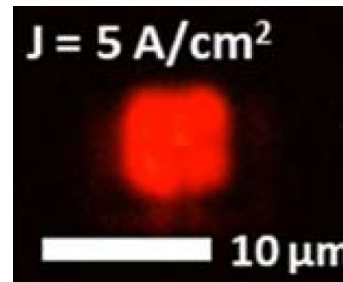
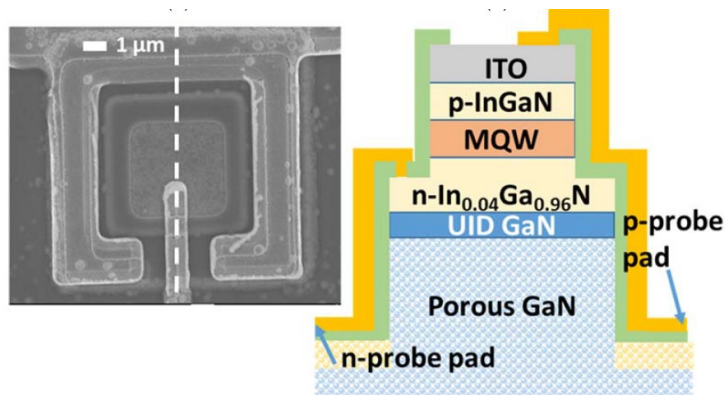
## ■ Strain relaxation from porous GaN



C. Zhang *et al.*, ACS Photonics **2**, 980 (2015) – Yale



S.S. Pasayat *et al.*, Semicond. Sci. Technol. **34**, 115020 (2019)- UCSB



■ 632 nm at  $10 \text{ A.cm}^{-2}$

■  $6 \mu\text{m} \times 6 \mu\text{m}$   $\mu\text{LEDs}$

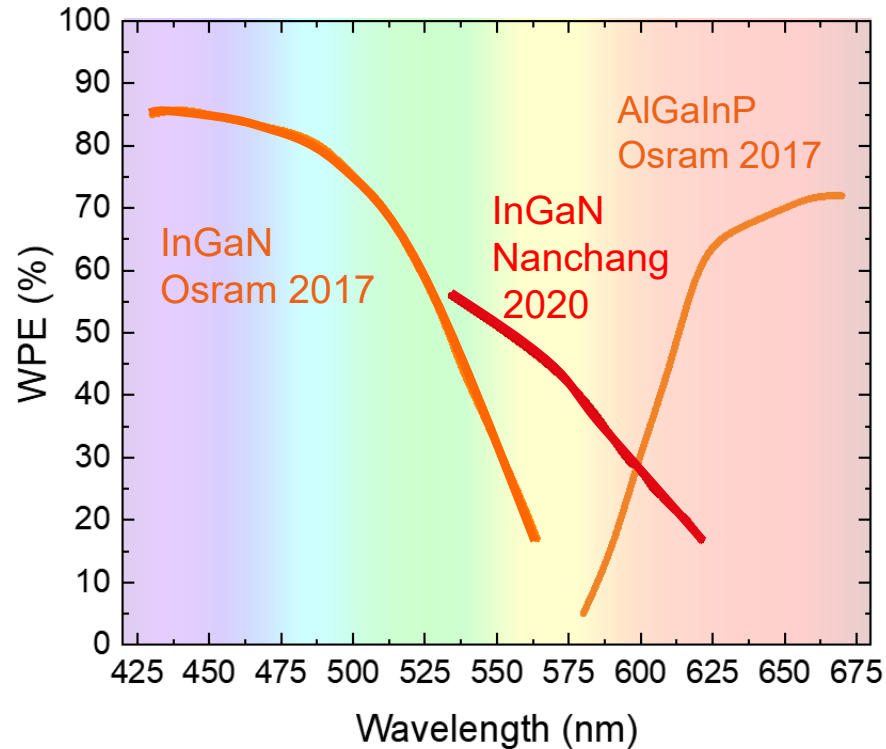
EQE = 0.2%

S.S. Pasayat *et al.*, APEX **14**, 011004 (2021) - UCSB

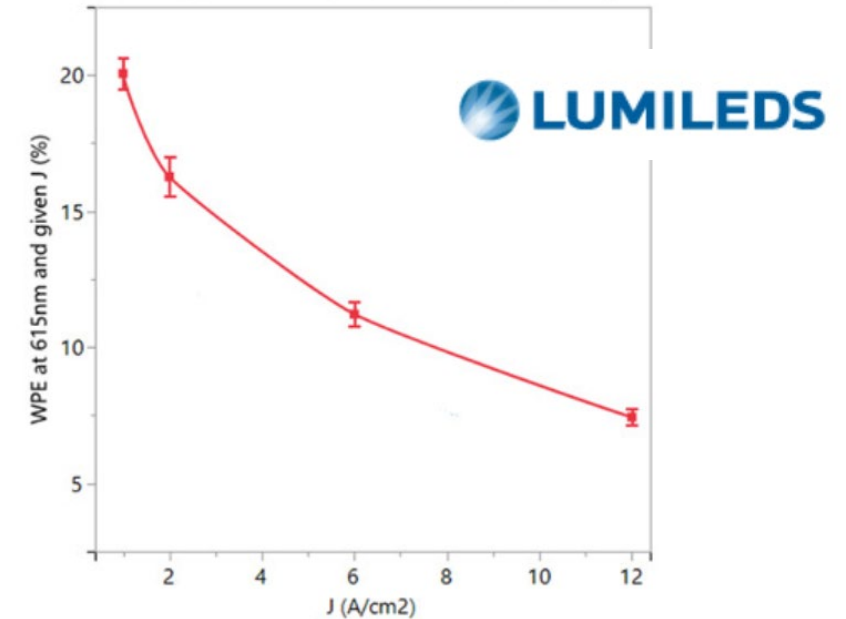
R. Oliver, Cambridge U. Paper 12441-65

# Conclusion

- WPE of InGaN based LEDs – state of the art



615nm Dominant Wavelength Red InGaN LEDs  
Wall Plug Efficiency vs. Current Density



<https://lumileds.com/lumileds-continues-to-lead-advances-for-red-ingan-leds/>

- ➡ The green gap is closing
- ➡ The III-nitrides may compete for red microLEDs
- ➡ InGaN pseudo-substrates are needed.

# Laser diodes

---

## Electrical injection

$$n = J\tau / qd$$

### A few remarks:

- The effective recombination time  $\tau$  depends on the carrier density
- The Auger recombination term is significant only at high injection
- The carrier density depends on the active region thickness

### Geometry of the active region:

- homojunction:  $d = L_{Dn} + L_{Dp}$  (1-10  $\mu\text{m}$ ) (No RT operation)
- heterojunction:  $d = 100 \text{ nm}$
- quantum well:  $d = 1\text{-}10 \text{ nm}$

# Laser diodes

## Population inversion

$n$  increases with the current

$$n = \int_{E_c}^{\infty} \rho_c(E) \frac{1}{1 + e^{\left[ \frac{E - E_{Fc}}{kT} \right]}} dE \quad E_{Fc} (E_{Fv}) \uparrow$$

and the absorption is given by

$$\alpha(\omega) = -\gamma(\omega) = \alpha_0(\omega) [f_v(\hbar\omega) - f_c(\hbar\omega)] \quad \text{where } \gamma \text{ is the gain}$$

When  $\alpha$  is negative  $\Rightarrow$  stimulated emission

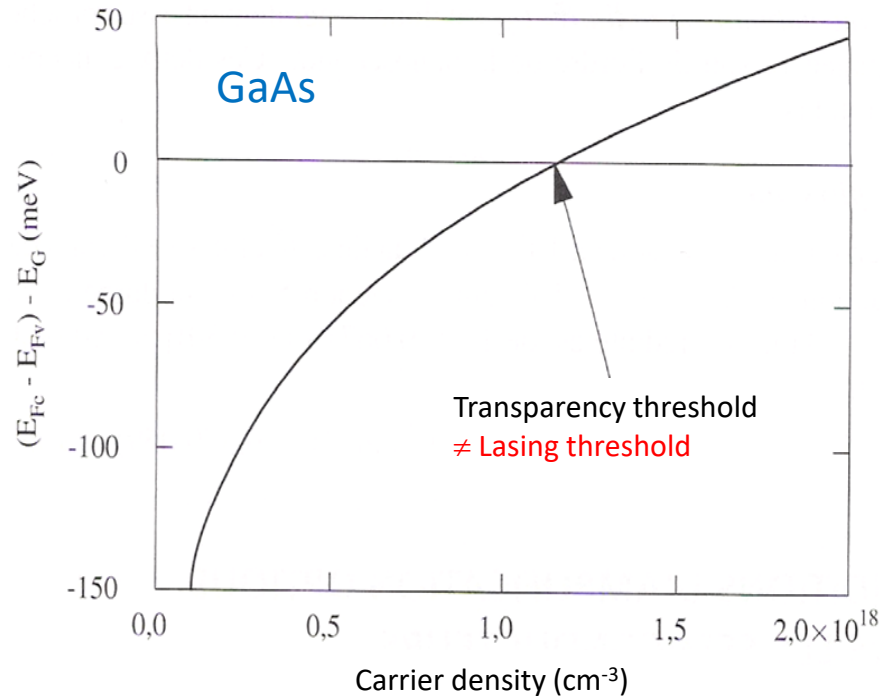
$$f_c(\hbar\omega) \geq f_v(\hbar\omega) \quad \Rightarrow \quad E_{Fc} - E_{Fv} \geq \hbar\omega \geq E_g$$

*Bernard-Duraffourg condition*



# Laser diodes

## Transparency threshold



The material becomes transparent when

$$E_{F_c} - E_{F_v} = E_g$$

*Minimum requirement to fulfill the Bernard-Duraffourg condition*

$$t = 1 \mu\text{m} \Rightarrow J_{tr} \sim 16 \text{ kA/cm}^2 \quad \text{bulk (1960)}$$

$$t = 100 \text{ nm} \Rightarrow J_{tr} \sim 1.6 \text{ kA/cm}^2 \quad \text{heterostructure (1970)}$$

$$t = 10 \text{ nm} \Rightarrow J_{tr} \sim 160 \text{ A/cm}^2 \quad \text{quantum well (1980)}$$

## Laser threshold

$$\text{gain} = \text{losses} \Rightarrow \Gamma \gamma_{thr}(h\nu) = \alpha_p + 1/(2L) \times \ln(1/R_1 R_2)$$

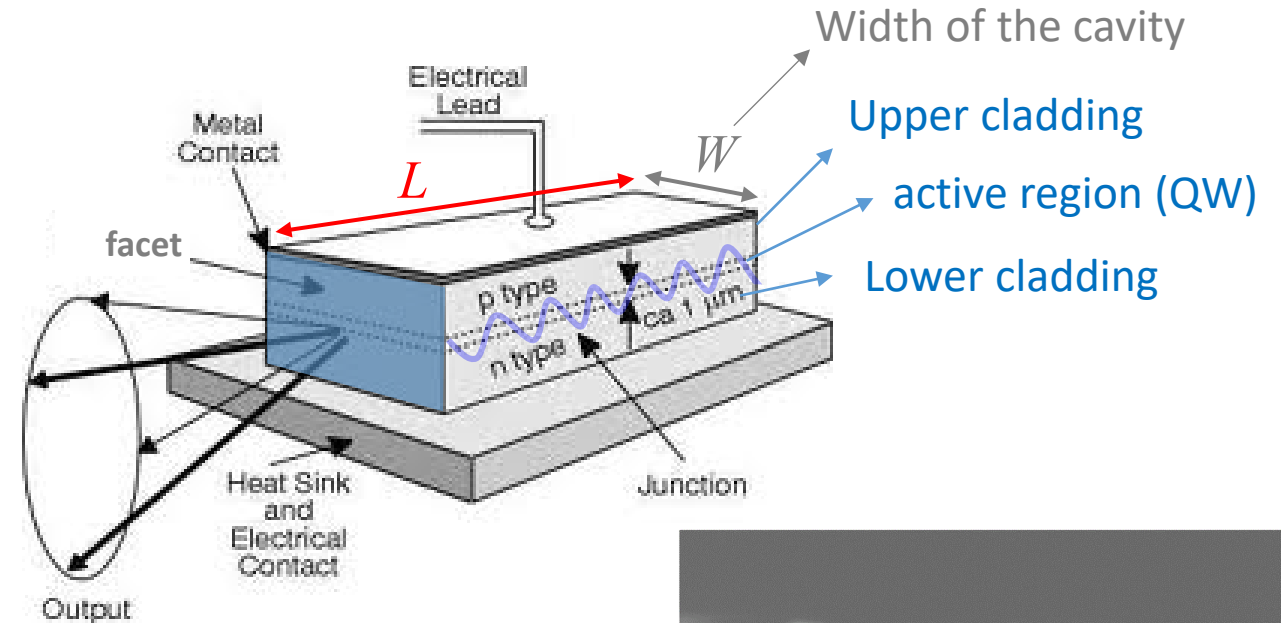
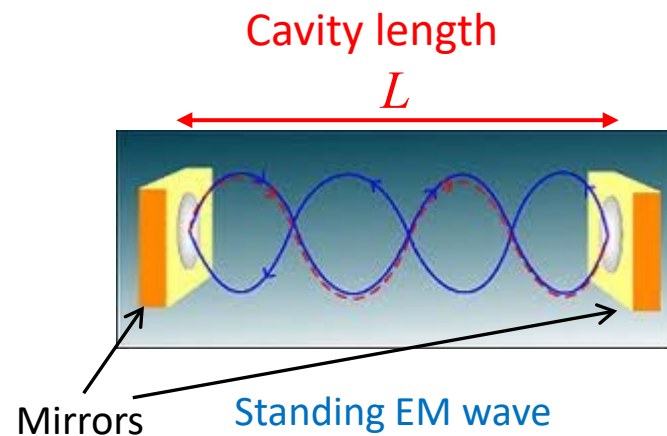
Modal gain

$\Gamma$  is the confinement factor

# Edge-emitting laser diode

## Laser cavity

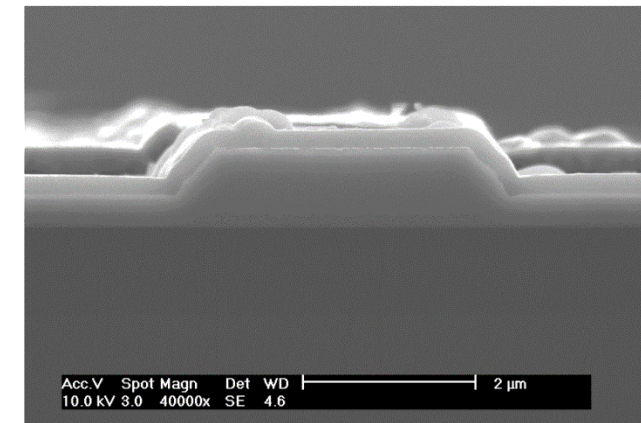
Resonant cavity  $\Rightarrow$  optical feedback



The mirrors are achieved by crystal cleavage. This defines atomically flat planes.

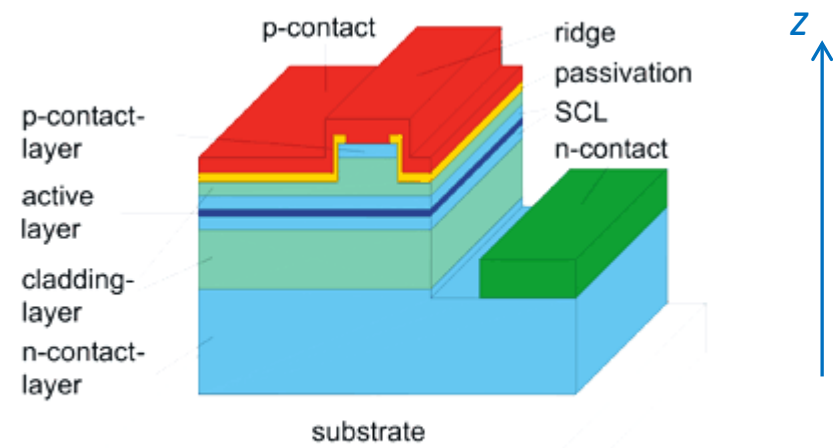
The reflectivity is given by  $R_m = (n_{sc}-1)^2 / (n_{sc}+1)^2$  e.g., for GaAs,  $R_m = 0.32$

The facet reflectivity is further increased by dielectric surface coating (DBRs).

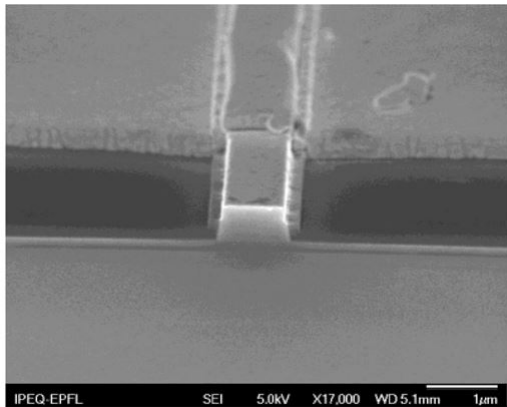


# Edge-emitting laser diode

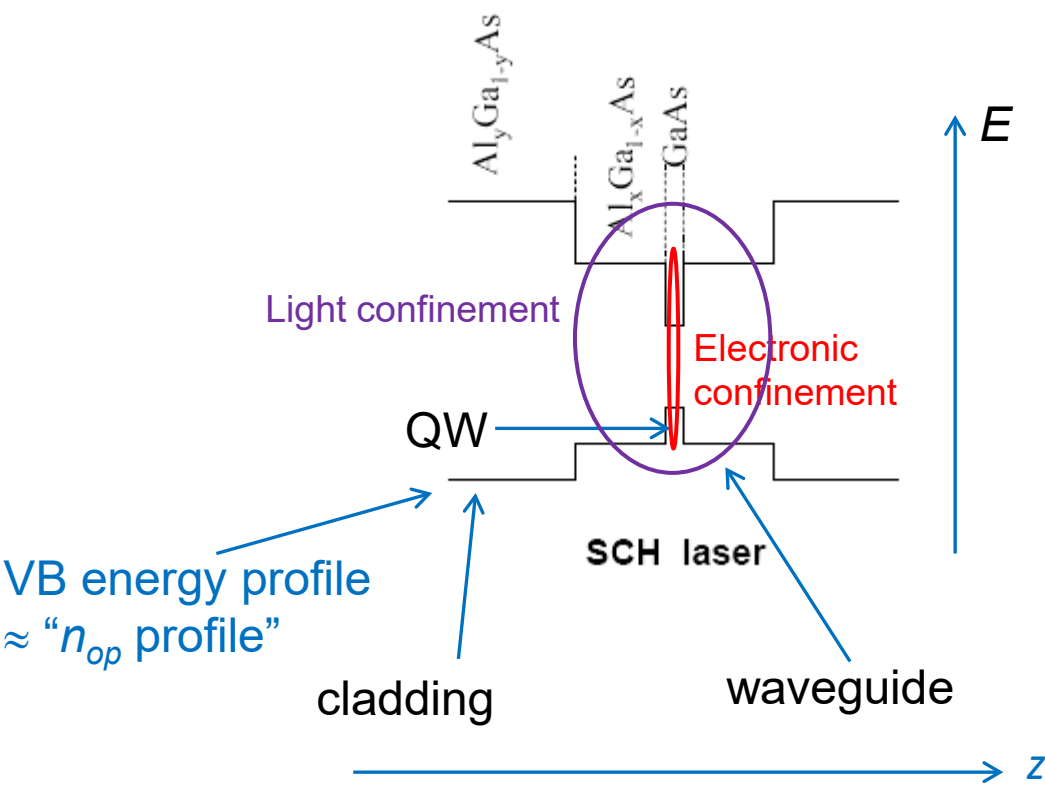
## Laser diode structure



0.2-1  $\mu\text{m}$  x 2-5  $\mu\text{m}$  x 200-1000  $\mu\text{m}$



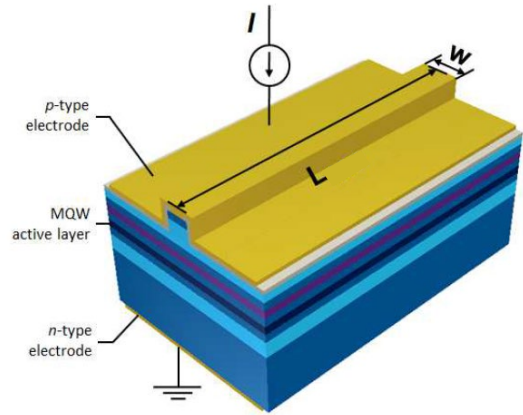
“LED” + cavity (mirrors)



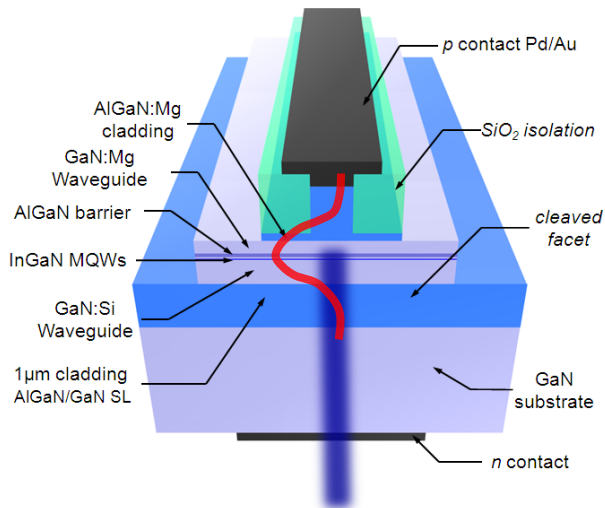
SCH: separate confinement heterostructure

# Edge-emitting laser diode

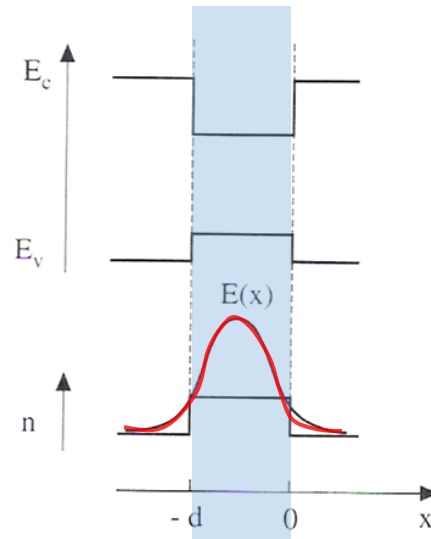
## Confinement factor and modal gain



### Optical waveguide:



### active region



The confinement factor ( $\Gamma$ ) is given by the overlap between the optical mode and the active region (e.g. QWs):

$$\Gamma = \frac{\int_{-d}^0 |E(x)|^2 dx}{\int_{-\infty}^{+\infty} |E(x)|^2 dx}$$

For a QW-based LD (SCH),  $\Gamma = 0.5-5\%$

For a double heterostructure (DHS),  $\Gamma \sim 1$  ( $d \approx 100$  nm)

Then, the modal gain is

$$\gamma_m = \Gamma \gamma$$


# Edge-emitting laser diode

## Laser threshold

In an ideal case, *i.e.* without any losses, the laser threshold would be the transparency threshold ( $n_{tr} = n_{thr}$ )

However, in real devices, parasitic absorption is always present ( $n_{tr} < n_{thr}$ )

The laser threshold is then defined as **Gain** = **Losses**



$\gamma_m = \Gamma \gamma$   
 $\gamma$  increases with  
injected current

- Internal losses (parasitic light absorption, eg. not the active region)
- Mirror losses

Condition for lasing: Modal gain = losses  $\Rightarrow \Gamma \gamma_{thr} = \alpha_p + 1/(2L) \times \ln(1/R_1 R_2)$

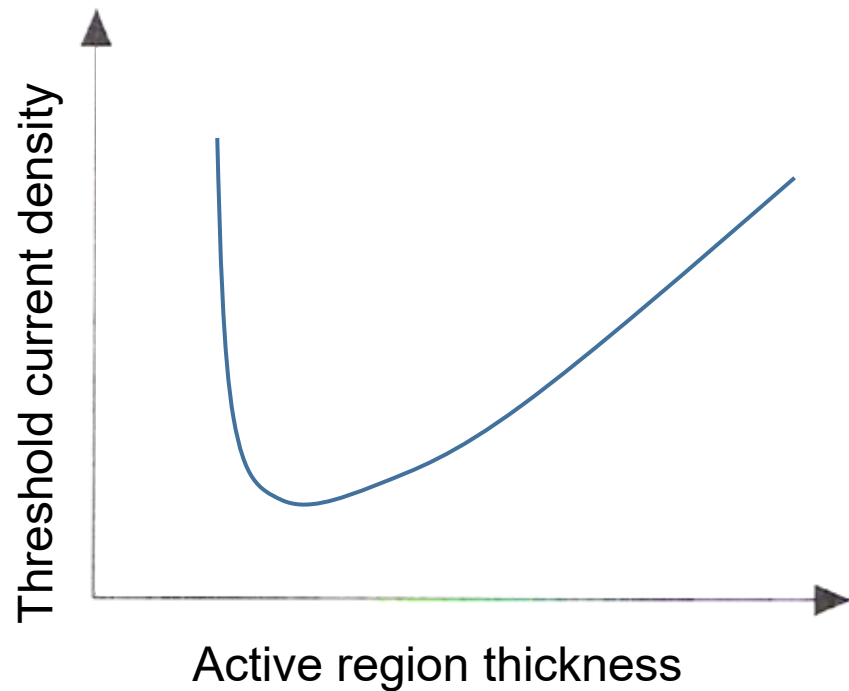
Modal gain      Parasitic losses      Mirror losses

$R_1, R_2$  are the mirror reflectivity



# Edge-emitting laser diode

## Laser threshold



For a given laser diode size, there is a minimum threshold current density which is determined by the tradeoff between carrier density in the active region and the confinement factor.

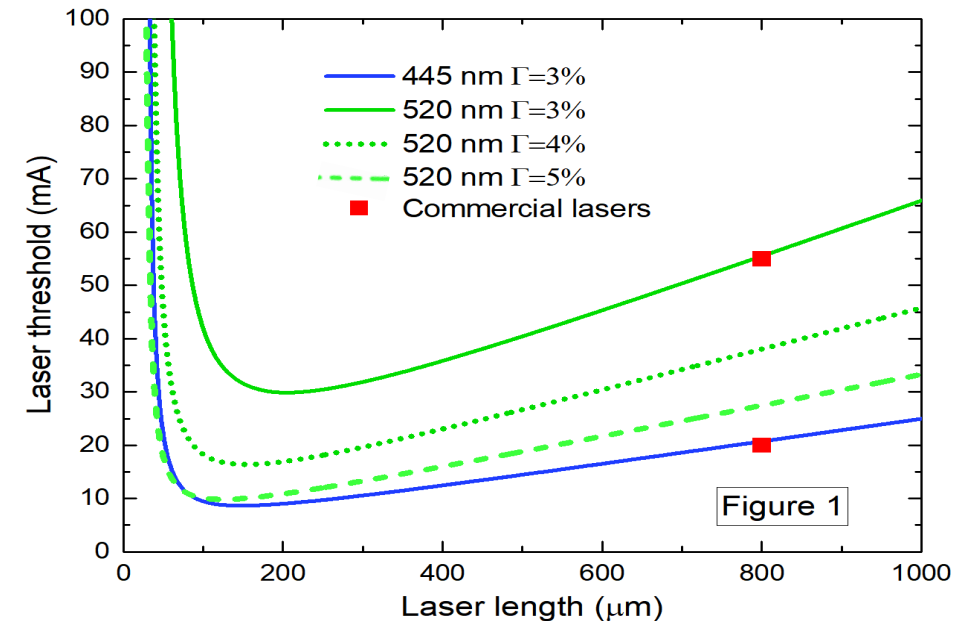


Figure 1

Evolution of the laser threshold as a function of cavity length, confinement factors, and different emission wavelengths

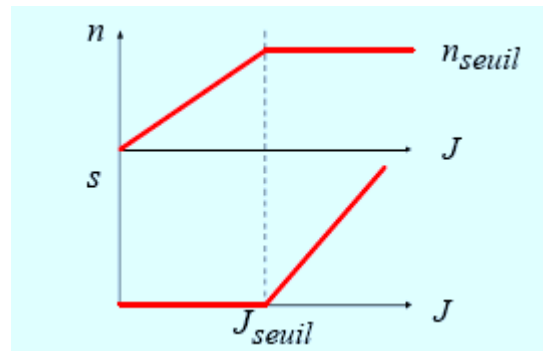
# Edge-emitting laser diode

## Light output characteristics

1. Below the transparency threshold: linear dependence with the current
2. Above the transparency threshold: amplified spontaneous emission (ASE),  
 $\Rightarrow$  *superluminescence*  $n_{tr} < n < n_{thr}$
3. When  $\Gamma\gamma$  (modal gain) equals the losses: laser oscillations start (strong linewidth reduction)

Important: once the lasing threshold is reached, the carrier density is clamped ( $n$  is constant)

↳ each newly added electron gives rise to 1 stimulated photon ( $\times$  IQE)

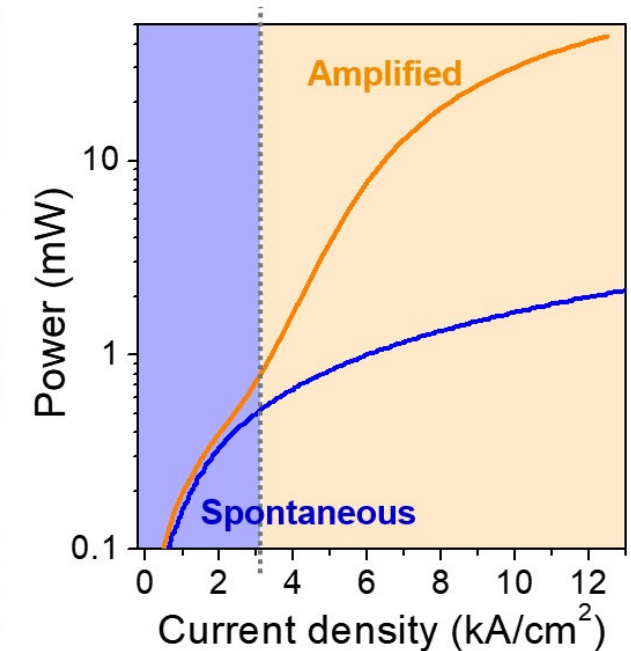
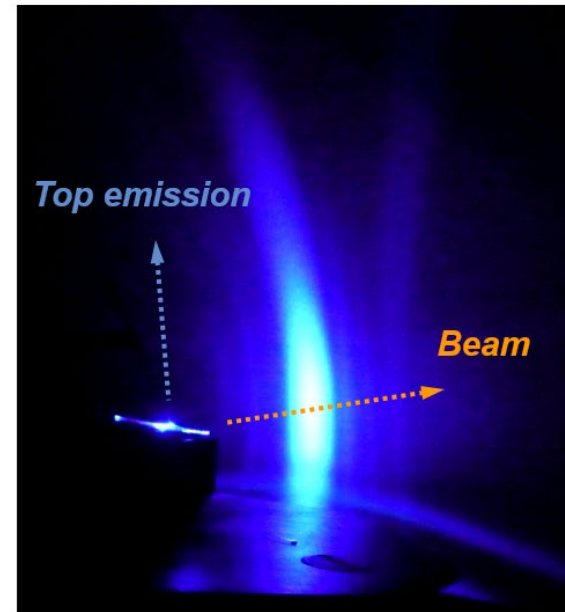
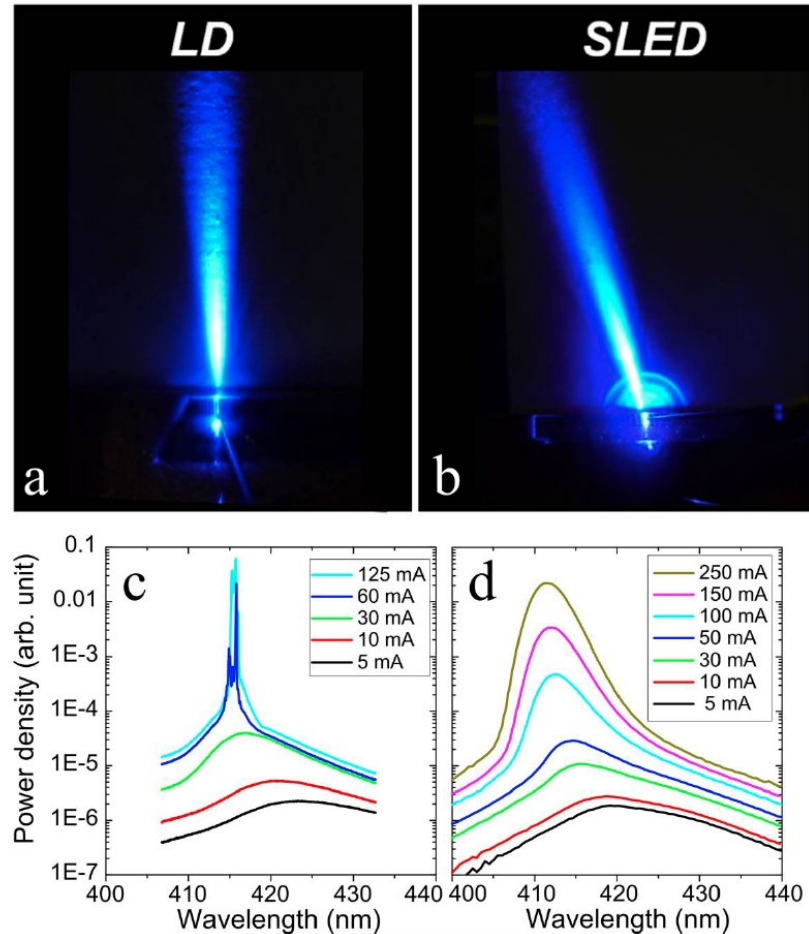


$S$  is the number of stimulated photons in the cavity

# Edge-emitting laser diode

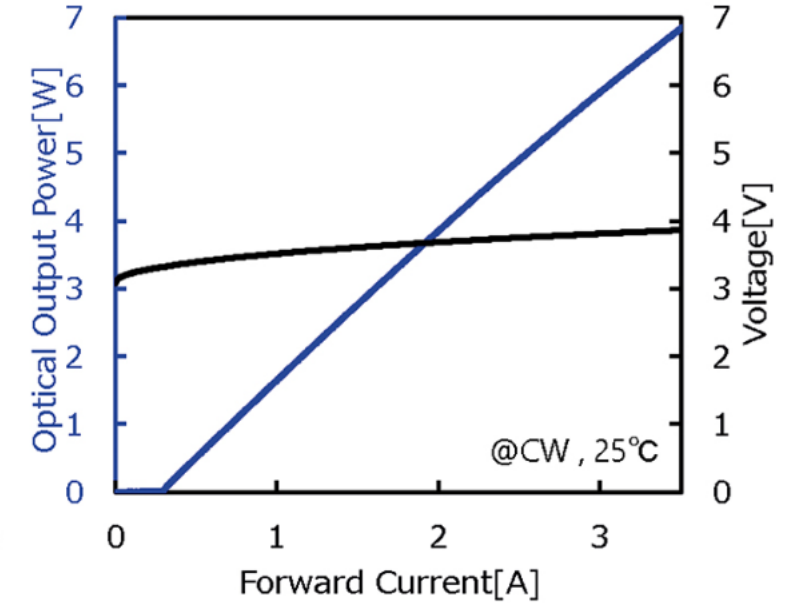
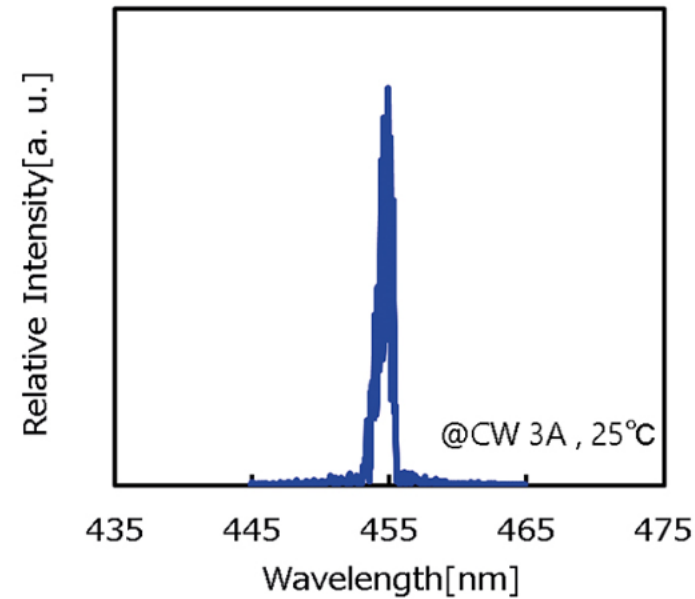
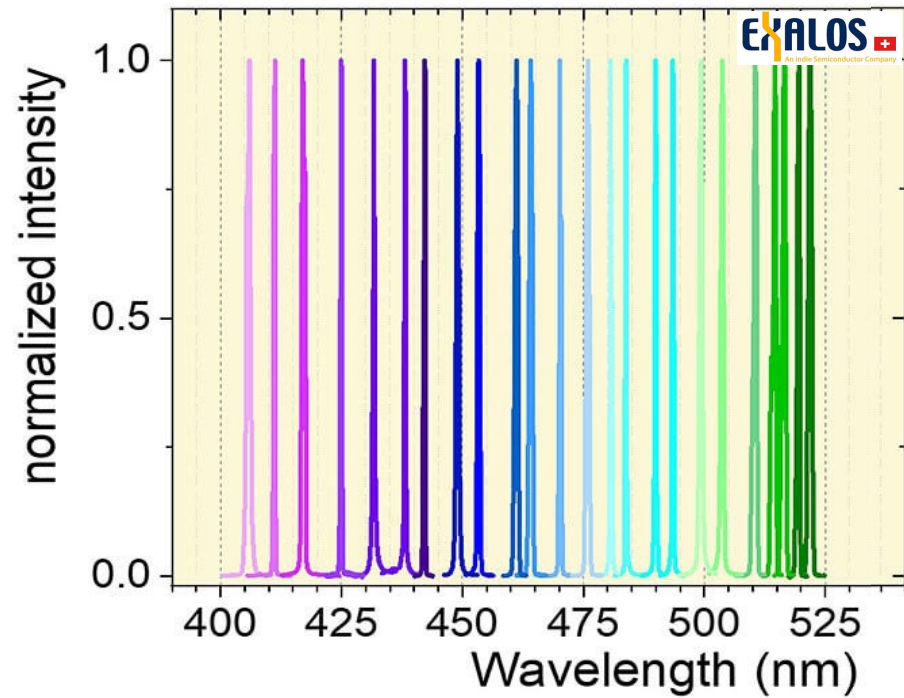
## Lasing and amplified spontaneous emission

Laser diode versus superluminescent LED



Note: a superluminescent LED (SLED) is a laser diode structure without any internal light reflection (no cavity effect=no feedback)

# Edge-emitting laser diode



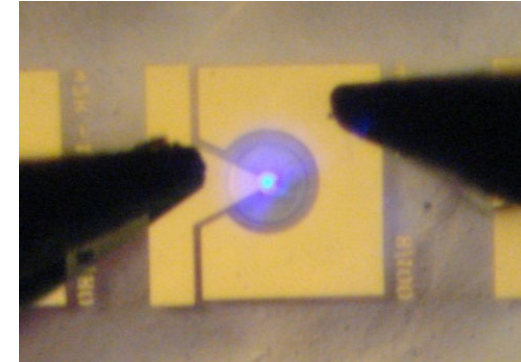
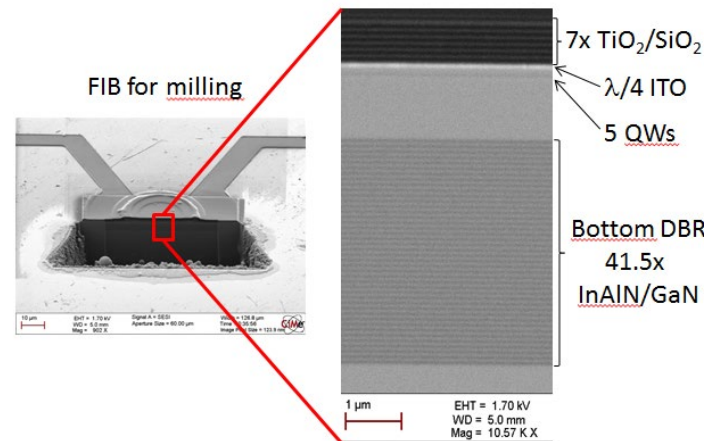
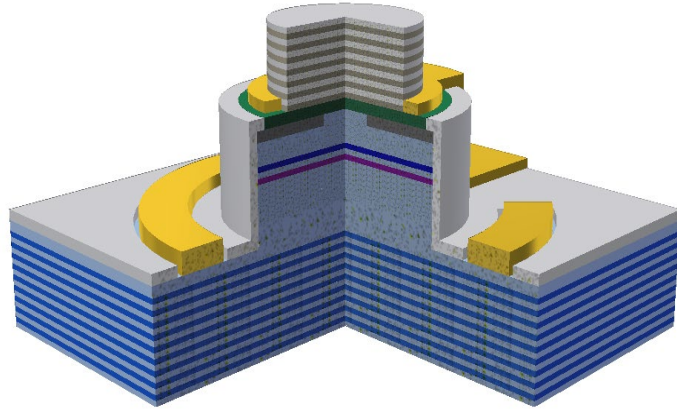
[https://compoundsemiconductor.net/article/117364/Building\\_better\\_blue\\_and\\_green\\_lasers](https://compoundsemiconductor.net/article/117364/Building_better_blue_and_green_lasers)

WPE > 50% at 450 nm

WPE > 25% at 525 nm

# VCSELs

## Vertical cavity surface emitting laser (VCSEL)



Appl. Phys. Lett. 101, 151113 (2012)

5 March 2021

### Blue and green GaN-based vertical-cavity surface-emitting lasers with AlInN/GaN DBR

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### WPE vs $I_{th}$ of blue VCSELs

